

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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| Applicant(s): BRUN, Michel | Examiner: FUQUA, SHAWNTINA T |
| Application No.: 10/574,542 | Art Unit: 3742 |
| Filed: 3 May 2007 | Confirmation Number: 4054 |
| Title: HEATING ASSEMBLY COMPRISING A PTC ELEMENT, IN PARTICULAR FOR A MOTOR VEHICLE | |
| Attorney Docket No.: 1006/0106PUS1 | |

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.97(b), or
(within three months of filing national application or date of entry of international application; before mailing date of first office action on the merits; or before the mailing of a first Office action after the filing of a request for continued examination)
- ☒ under 37 CFR 1.97(c) together with either a:
☒ statement under 37 CFR 1.97(e), **or**
☐ a \$180.00 fee under 37 CFR 1.17(p),
(after the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:
☐ statement under 37 CFR 1.97(e), **and**
☐ a \$180.00 fee set forth in 37 CFR 1.17(p).
(filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Statement under 37 CFR 1.97(e) (if applicable):

☒ Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not

more than three months prior to the filing of the information disclosure statement (37 CFR 1.97(e)(1)).

☐ No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement (37 CFR 1.97(e)(2)).

I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION

The patents, publications, or other information submitted for consideration by the Office are listed on the PTO/SB/08 attached hereto.

II. COPIES (check at least one box)

a. ☒ Submitted herewith is a legible copy of (i) each foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed.

b. ☒ Some or all of the documents listed on the PTO/SB/08 are not enclosed because they are either U.S. Patents or Published Applications or were cited in the International Search Report and copies should already be in the PTO file. If copies are needed, please contact the undersigned.

c. ☐ As provided in 37 CFR 1.98(d), some or all of the documents listed on the PTO/SB/08 are not enclosed because they were cited in the parent application(s). Accordingly, copies are not submitted herewith.

III. CONCISE EXPLANATION OF THE RELEVANCE (check at least one box)

a. ☐ DOCUMENTS IN THE ENGLISH LANGUAGE

The attached patents, publications, or other information in the English language do not require a statement of relevancy.

b. ☒ DOCUMENTS NOT IN THE ENGLISH LANGUAGE

A concise explanation of the relevance of all patents, publications, or other information listed that is not in the English language is as follows: The EP documents listed in the enclosed 1449 have corresponding English counterparts also listed in the 1449.

c. ☐ ENGLISH LANGUAGE SEARCH REPORT

An English language version of the search report or action that indicates the degree of relevance found by the foreign office is attached, thereby satisfying the requirement for a concise explanation. See MPEP 609(III)(A)(3).


d. ☐ OTHER

The following additional information is provided for the Examiner's consideration.

It is requested that the information disclosed herein be made of record in this application. Please charge any shortage in fees due in connection with the filing of this, concurrent and future replies, including extension of time fees, to Deposit Account 50-3828 and please credit any excess fees to such deposit account.

Date: June 17, 2009

Respectfully submitted,



Martin R. Geissler
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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

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| Application Number | 10/574,542 |
| Filing Date | 3 May 2007 |
| First Named Inventor | BRUN |
| Art Unit | 3742 |
| Examiner Name | FUQUA, SHAWNTINA T |
| Attorney Docket Number | 1006/0106PUS1 |

Sheet 1 of

U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|--------------------|-----------------------|--|--------------------------------|--|---|
| | | Number - Kind Code ² (if known) | | | |
| | | US-5,057,672* | 10-15-1991 | Bohlender et al | |
| | | US-6,472,645 B1** | 10-29-2002 | Franz Bohlender | |
| | | US- US 2005/0072774 A1*** | 04-07-2005 | Franz Bohlender | |
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FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ³ |
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| | | Country Code ⁵ - Number ⁴ - Kind Code ⁶ (if known) | | | | |
| | | EP 0 350 528 A1* corresponds to US 5,057,672 | 01-17-1990 | David & Baader DBK Spezialfabrik elektrischer Apparate und Heizwiderstände GmbH | | |
| | | EP 1 061 776 A1** corresponds to US 6,472,645 B1 | 12-20-2000 | David & Baader DBK Spezialfabrik elektrischer Apparate und Heizwiderstände GmbH | | |
| | | EP 1 432 287 A1*** corresponds to US 2005/0072774 A1 | 06-23-2004 | Catem GmbH & Co. KG | | |
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| Examiner Signature | Date Considered |
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). *See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. *Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). *For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. *Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. *Applicant is to place a check mark here if English language Translation is attached.

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